



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of
Invention

SYSTEM FOR PROVIDING CARDLESS PAYMENT

Application Number: 09/956997



Confirmation Number: 2940

First Named Applicant: William Mann

Attorney Docket Number: 47004.000172

Art Unit: 2161

Search string: (3920908 or 4123747 or 4386266 or 4700055
or 4707592 or 5287403 or 5220501 or 5265033
or 5311594 or 5420926 or 5475740 or 5479494
or 5487107 or 5513250 or 5627355 or 5677955
or 5699528 or 5706442 or 5742035 or 5745555
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or 6105006 or 6131115 or 6163771 or 6192407
or 6202051 or 6219680 or 6223168 or 6246996
or 6269348 or 6324538 or 6446049 or
Re36788).pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1/4	1	3920908	1975-11-18	Kraus			
	2	4123747	1978-10-31	Lancto			
	3	4386266	1983-05-31	Chasarek			
	4	4700055	1987-10-13	Kashkashian			
	5	4707592	1987-11-17	Ware			
	6	5287403	1994-02-15	Atkins			
	7	5220501	1993-06-15	Lawlor			

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	8	5265033	1993-11-23	Vajk
✓	9	5311594	1994-05-10	Penzias
	10	5420926	1995-05-30	Low
	11	5475740	1995-12-12	Biggs
	12	5479494	1995-12-26	Clitherow
	13	5487107	1996-01-23	Atkins
	14	5513250	1996-04-30	McAllister
	15	5627355	1997-05-06	Rahman
	16	5677955	1997-10-14	Doggett
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	19	5742035	1998-04-21	Kohut
	20	5745555	1998-04-28	Mark
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	24	5790790	1998-08-04	Smith
	25	5793028	1998-08-11	Wagener
	26	5822737	1998-10-13	Ogram
	27	5826241	1998-10-20	Stein
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Signature

Examiner Name	Date
	2-15-06

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number 09/956,997
Filing Date September 20, 2001
First Named Inventor William MANN
Art Unit 2161
Examiner Name Unassigned
Attorney Docket Number 47004.000172

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Sheet 1

5

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			

FOREIGN PATENT DOCUMENTS

*Examiner Initials	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
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							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>

NON-PATENT LITERATURE DOCUMENTS

*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
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12A	1.	eCharge, eCharge Corporation, www.echarge.com, 12/03/99		
	2.	Tracy Pletz et al., Summary of the at your risk architecture, 06/03/99		
	3.	Siebel, Siebel: Ensuring Customer Success, www.siebel.com, 11/17/99		
	4.	OMG, Welcome to OMG's CORBA for Beginners Page!, www.omg.com, 05/25/99		

EXAMINER SIGNATURE

DATE CONSIDERED

2-15-03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

Complete if Known

Application Number	09/856,997
Filing Date	September 21, 2001
First Named Inventor	William MANN et al.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	47004.000172

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
KA	U1	4,582,985		Löfberg	04-15-1988	
	U2	5,198,975		Baker et al.	03-30-1993	
	U3	5,424,938		Wagner et al.	06-13-1995	
	U4	5,808,042		Kelly et al.	09-08-1998	
	U5	5,995,948		Whitford et al.	11-30-1999	
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	U7	6,006,208		Forst et al.	12-21-1999	
	U8	6,032,136		Brake, Jr. et al.	02-29-2000	
	U9	6,088,683		Jalili	07-11-2000	
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	U16	6,202,053		Christiansen et al.	03-13-2001	
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	U18	6,341,724		Campisano	01-29-2002	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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	P2		

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Form PTO-1449 U.S. Department of Commerce
(Rev. 8-83) Patent and Trademark Office

Atty. Docket No.:
47004.000172

Serial No.:
09/956,997

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)



Applicant:
William MANN et al.

Filing Date:
September 21, 2001

Group:
2161

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
LA	5,737,421	04/07/1998	Audebert			
	5,802,176	09/01/1998	Audebert			
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Document Number	Date	Country	Class	Subclass	Translation Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Form PT-1449 U.S. Department of Commerce
(Rev. 8-83) Patent and Trademark OfficeAtty. Docket No.:
47004.000172Serial No.:
09/956,997**INFORMATION DISCLOSURE STATEMENT**

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Applicant:
William MANN et al.Filing Date:
September 21, 2001Group:
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Technology Center 2100**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation Yes No
LA	WO 00/67177	11/09/2000	PCT	G06F	17/60	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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2-15-06

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Examiner Name	Unassigned
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		Number	Kind Code ² (if known)			
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	U2	3,938,091		Atalia et al.	02-10-1978	
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	U9	5,336,870		Hughes et al.	08-09-1994	
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
L.A.	P1	International Search Report PCT/US00/12633 MAILED 08-01-2000	
✓	P2	<www.echarge.com>, 1999 eCharge Corporation, Seattle, WA (Info@eCharge.com); publication date unknown, web site last updated on November 18, 1999	

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Form PTO-1449 U.S. Department of Commerce
(Rev. 8-83) Patent and Trademark Office

Atty. Docket No.:
47004.000172

Serial No.:
09/956,9
97

INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

Applicant:
William MANN et al.

Filing Date:
September 21, 2001

Group:
2161

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
LQ	5,534,855	07/09/1996	Shockley, et al.			

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	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
LQ	EP 0 884 877	12/16/1998					
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	EP 1 017 030	7/5/2000					
	EP 0 855 659	7/29/1998					
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	EP 0 917 119	5/19/1999					
✓	WO 01/35355	5/17/2001					

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	EPO Search Report dated 9/22/2004 EP 02 77 5858

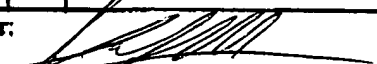
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Date Considered:

2-15-06

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Form PTO-1449 U.S. Department of Commerce (Rev. 8-83) Patent and Trademark Office				Atty. Docket No.: 47004.000172		Serial No.: 09/956,997	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Applicant: William MANN et al.			
PAGE 1 OF 3				Filing Date: September 21, 2001		Group: 2161	
U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Name	Date	Class	Subclass	Filing Date (if appropriate)
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		US6950810	Lapsley	2005-09-27			
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		US6581042	Pare	2003-06-17			
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		Document Number	Date	Country	Class	Subclass	Translation Yes No
		0 590 861 A2	1994-6-4	EP			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	US Patent 5,838,812 (Pare) Patent Family Listing (as of 12/2005) (4 pages)						
Examiner:				Date Considered: 2-15-06			
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PAGE 2 OF 3

Applicant:
William MANN et al.Filing Date:
September 21, 2001Group:
2161**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
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	US6154879	Pare	2000-11-28			
	US5838812	Pare	1998-11-17			

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation Yes No
S62-103796	1987-5-14	JP			X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:	Date Considered: 2-15-06
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				Filing Date: September 21, 2001		
PAGE 3 OF 3				Group: 2161		
U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Name	Date	Class	Subclass	Filing Date (if appropriate)
K A.	US5805719	Pare	1998-09-08			
	US5802199	Pare	1998-09-01			
	US5764789	Pare	1998-08-09			
	US5737439	Lapsley	1998-04-07			
	US5613012	Hoffman	1997-03-18			
	US62955522	Boesch	2001-9			
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	US5897621	Boesch	1999-4			
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	US6685088	Royer	2004-2			
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
Microsoft changes course on smart cards and disposable card numbers, June 30, 2001, Electronic Payments International - Charles Davis (3 pages)						
Examiner:			Date Considered: 2-15-06			
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47004.000172 FAIRFAX 286074v1

PAGE 6/41 * RCVD AT 2/12/2006 8:27:22 PM [Eastern Standard Time] * SVR:USPTO-EFXXF-6/25 * DNS:2738300 * CSID:* * DURATION (mm:ss):11:12



Electronic Information Disclosure Statement

System and method for providing cardless payment

Application:



09/956997

Confirmation: 2940

Applicant(s): William Mann III

Docket

Number:

47004.000172

Group Art

Unit:

Examiner:

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GROUP 3600

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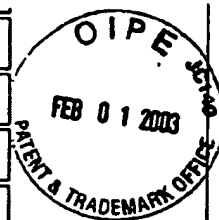
Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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P11	5898780	1999-04-27		Liu
P12	5914472	1999-06-22		Foladare
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P17	5958007	1999-09-28		Lee
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P30	6061665	2000-05-09		Bahreman
P31	6064987	2000-05-16		Walker
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P33	6098070	2000-08-01		Maxwell
P34	6112181	2000-08-29		Shear
P35	6131810	2000-10-17		Weiss
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P38	6148293	2000-11-14		King



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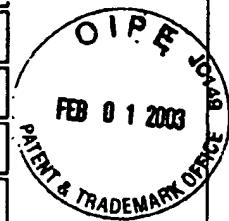
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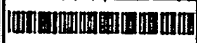






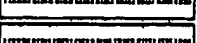
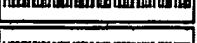



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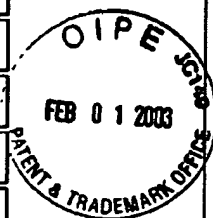
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	P12	5832476	1998-11-03		Tada
	P13	5835580	1998-11-10		Fraser
	P14	5838906	1998-11-17		Doyle
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	P16	5842211	1998-11-24		Horadan
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	P18	5845259	1998-12-01		West
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	P36	5952641	1999-09-14		Korshun
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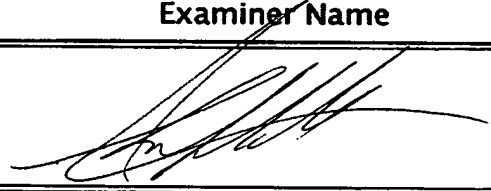
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✓	P42	5999907	1999-12-07		Donner
✓	P43	6018714	2000-01-25		Risen
✓	P44	4633397	1986-12-30		Macco
✓	P45	4695880	1987-09-22		Johnson
✓	P46	4713761	1987-12-15		Sharpe
✓	P47	5473732	1995-12-05		Change
✓	P48	5592560	1997-01-07		Deaton
✓	P49	5594837	1997-01-14		Noyes
✓	P50	5621812	1997-04-15		Deaton



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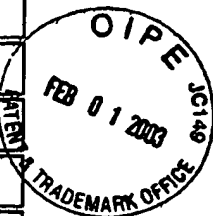
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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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	P02	4321672	1982-03-23		Thomson		
	P03	4567359	1986-01-28		Lockwood		
	P04	4725719	1988-02-16		Roach		
	P05	4823264	1989-04-18		Deming		
	P06	4882675	1989-11-21		Nichtberger		
✓	P07	4964043	1990-10-16		Galvin		

✓	P08	4992940	1991-02-12		Dworkin
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	P25	5544086	1996-08-06		Davis
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	P45	5754840	1998-05-19		Rivette
	P46	5758328	1998-05-26		Giovannoli
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Applicant(s): William Mann III

Docket Number: 47004.000172

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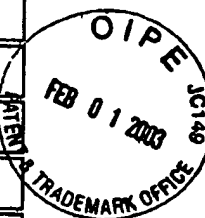
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/A	P01	5790650	1998-08-04		Dunn		
	P02	5794221	1998-08-11		Egendorf		
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	P04	5802502	1998-09-01		Gell		
	P05	5793861	1998-09-11		Haigh		
	P06	5815683	1998-09-29		Vogler		
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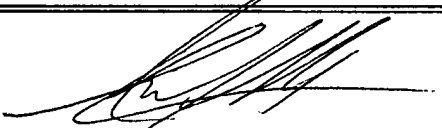


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